



XOMA

XOMA NON-CONFIDENTIAL PRESENTATION: APRIL 2018

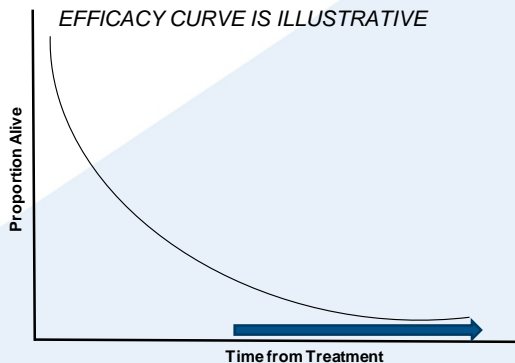


Augmenting Anti-tumor Immunity with a
Novel IL-2 Antibody Immunotherapy

Interleukin-2 Has Durable Clinical Activity



- Aldesleukin (Proleukin®), recombinant human IL-2, was the first cancer immunotherapy approved by the FDA in 1992 for metastatic renal cell carcinoma (mRCC)



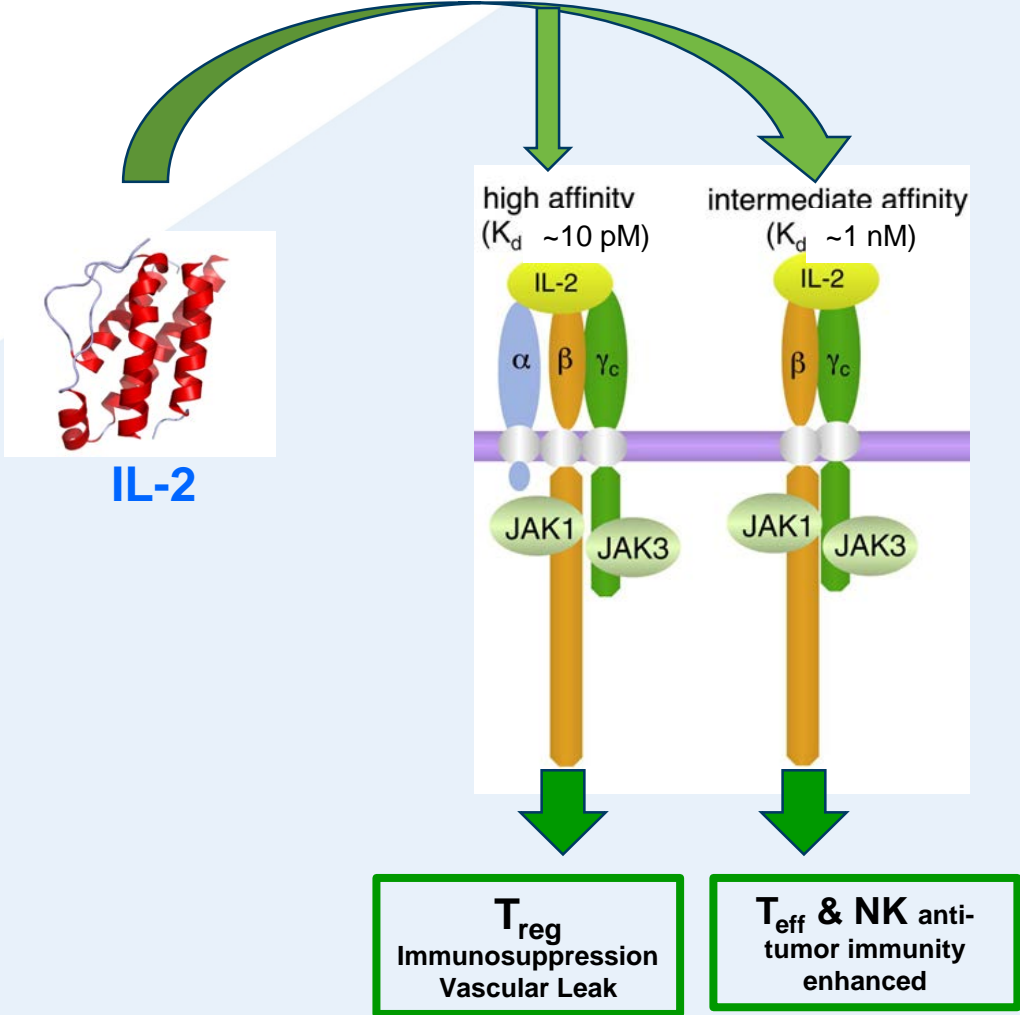
- IL-2 has demonstrated durable long-term responses in ~10% of patients for metastatic melanoma and renal cancer¹
- Approximately 70% of patients with complete responses have been cured, maintaining complete regression for more than 25 years¹

- Commercial use limited due to cytokine release syndrome/vascular toxicity/ICU care
- Anti-tumor efficacy is limited by expansion of the immunosuppressive Treg pool

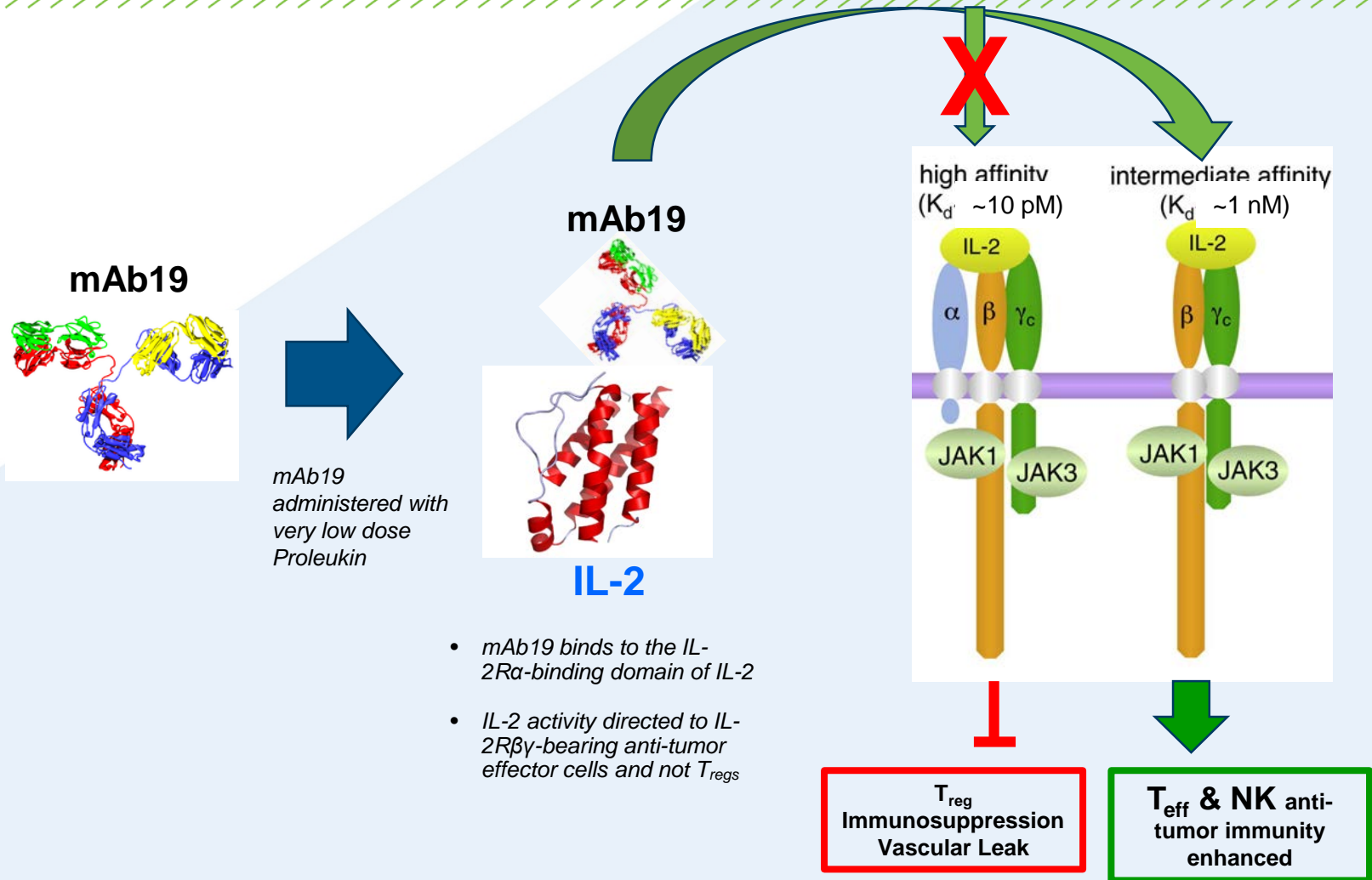
SUMMARY: XOMA's mAb19 Next Generation IL-2 Approach

| | Attribute | Outcome |
|---|--|--------------------------------|
| ✓ | NK & CD8 T cell expansion without cytokine release and vascular leak | Increased therapeutic window |
| ✓ | Limited expansion of Tregs (i.e. limit IL-2R α) | Allows full cytotoxic activity |
| ✓ | Long half-life - estimated ~ q2wk dosing | Ease meets current strategies |
| ✓ | Potential for stand-alone activity Able to combine with current immunotherapies | Augments anti-tumor efficacy |
| ✓ | No issues with immunogenicity | Fully human molecules |
| ✓ | Ease of manufacturing | Established mAb CMC |

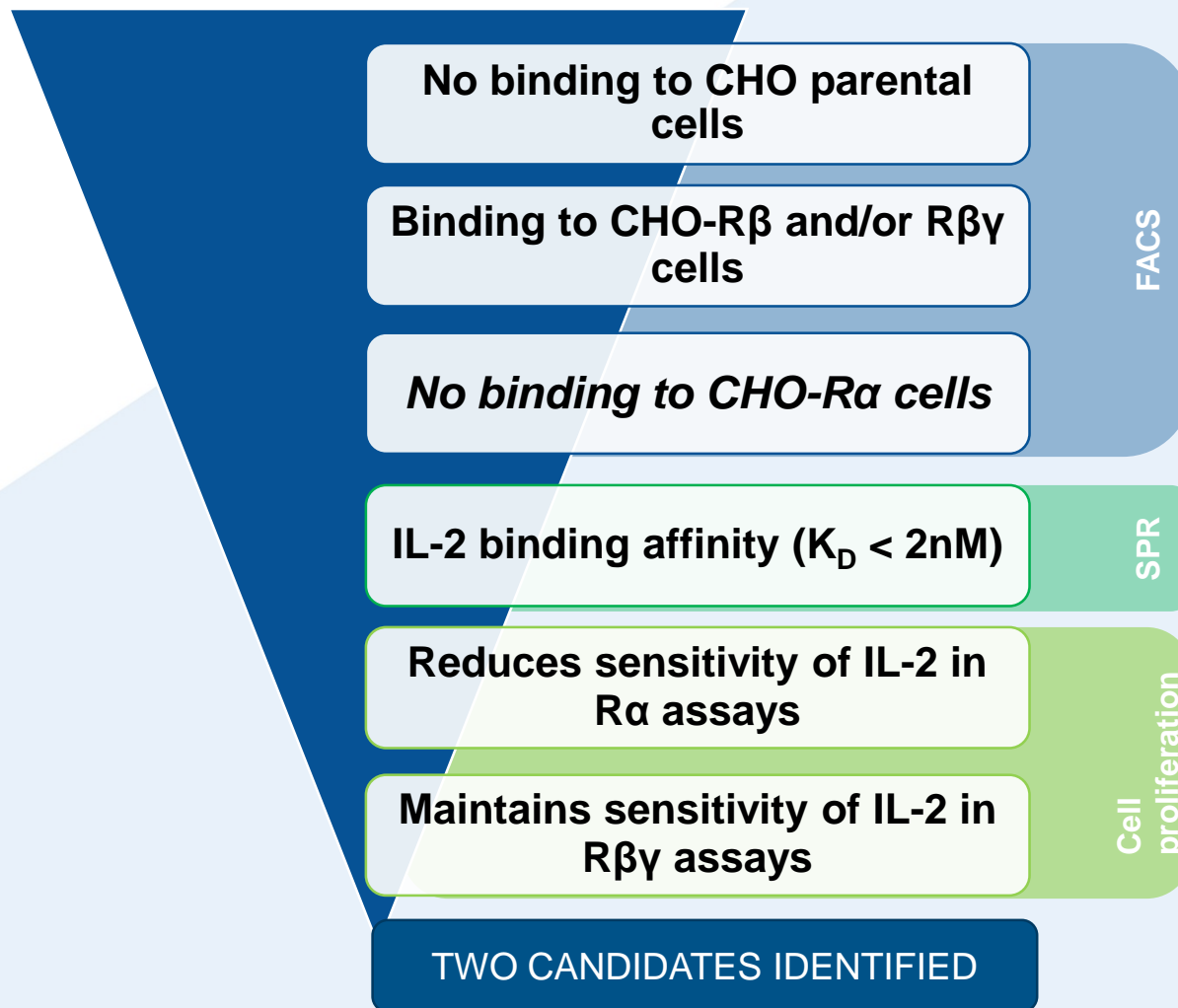
IL-2 Normally Acts at Both the High-Affinity IL-2R $\alpha\beta\gamma$ on Treg Cells AND the Intermediate Affinity IL-2R $\beta\gamma$ on Effector T and NK Cells



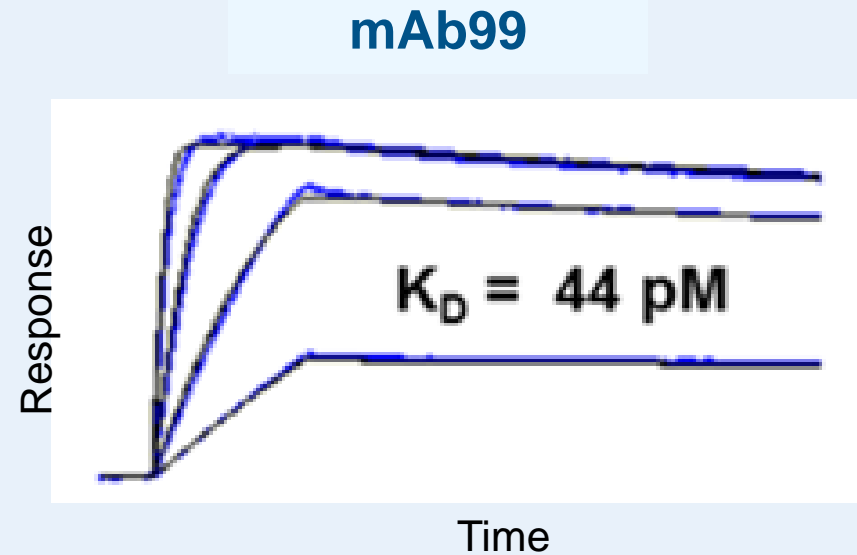
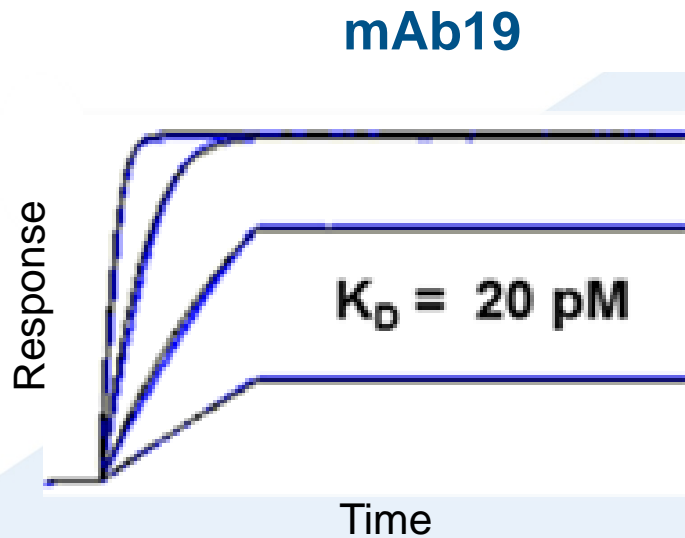
XOMA's mAb19 Disrupts IL-2 Interaction with IL-2R $\alpha\beta$ but Not IL-2R $\beta\gamma$, Thereby Promoting T_{eff} and NK Anti-tumor Immunity without Treg Immunosuppression



Screening Strategy Flowchart for XOMA's Fully Human anti-human IL-2 mAbs



Lead Anti-IL-2 Modulating mAbs are Potent Binders to Human IL-2



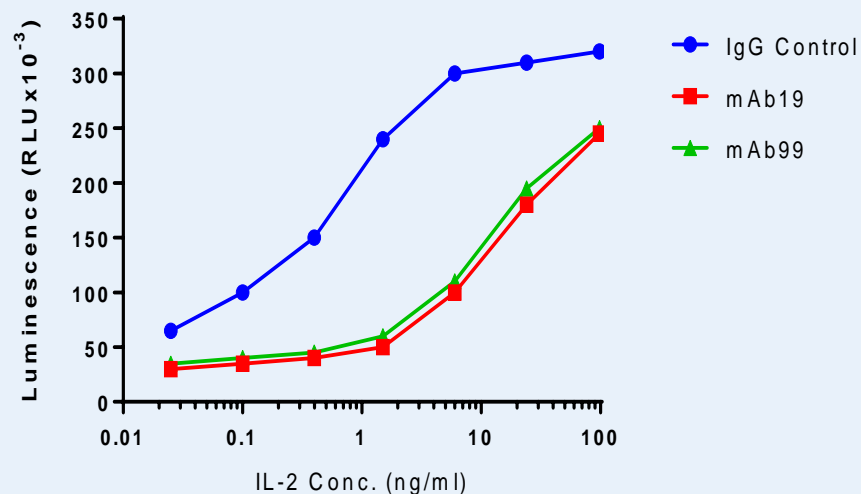
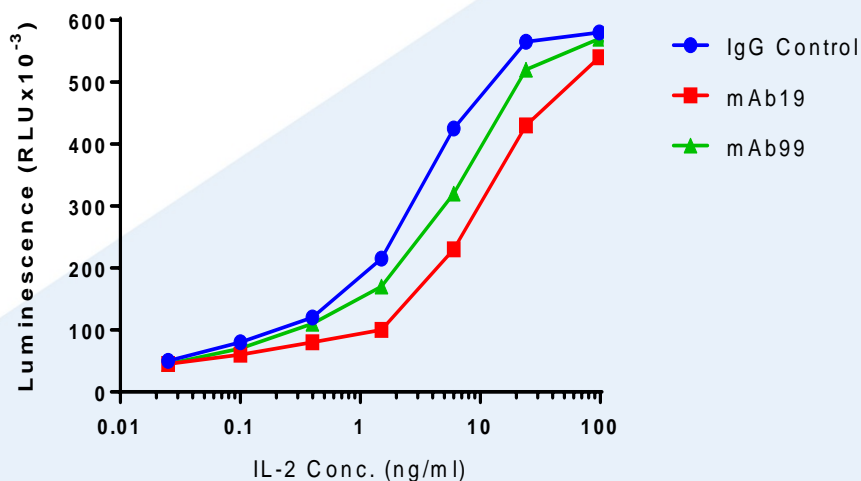
- **mAb19 is the most potent binder to human IL-2**

Analysis by surface plasmon resonance

XOMA Lead mAbs Especially Disrupt IL-2 Activity at the IL-2R $\alpha\beta\gamma$ Complex (i.e. T_{reg}) vs $\beta\gamma$ (desired effector cells)

BaF3/IL-2 R $\beta\gamma$ cell line is a surrogate for CD8+ T cells and NKs

NK92 cell line is a surrogate for T regs



EC₅₀ fold-shift from control Ig:

| Test Antibody | BaF3 cells (IL-2R $\beta\gamma$) | NK-92 cells (IL-2R $\alpha\beta\gamma$) |
|-----------------|-----------------------------------|--|
| mAb19 anti-IL-2 | 4.5 | 31 |
| mAb99 anti-IL-2 | 2.5 | 23 |

EC₅₀ IL2R $\beta\gamma$
mAb19/IL2=0.65nM

Study Design: FACS Analysis for Immune Cell Subpopulation

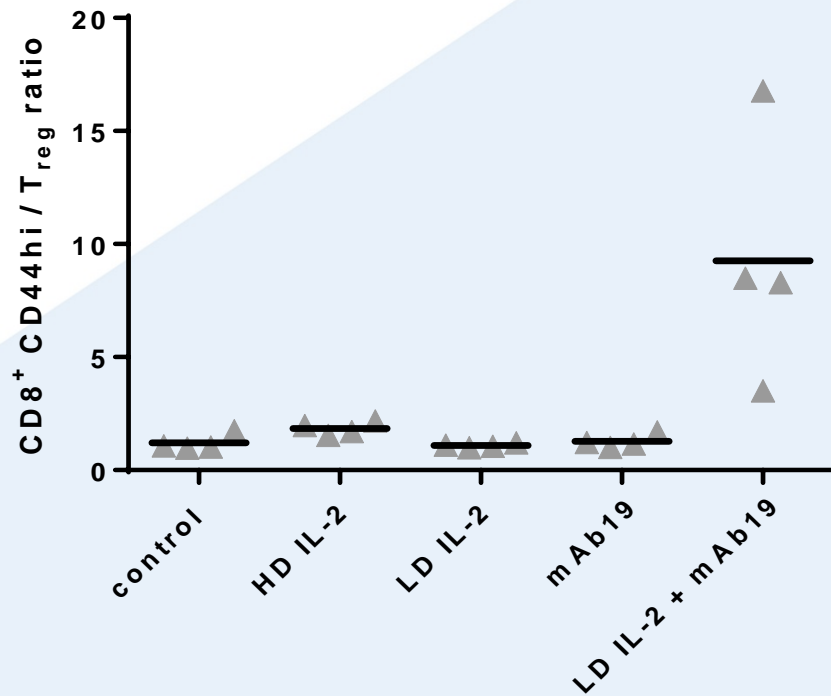
Immune Cell Subpopulation Shifts in Naïve B6 Mice

- **Mice treated i.p. with XOMA mAb + low-dose human IL-2 or standard high-dose IL-2**
 - *mAb's are not cross-reactive with mouse IL-2*
- **N = 4 animals per group**
- **Doses:**
 - HD IL-2 = 100 µg/mouse (*standard requirement for mouse anti-tumor efficacy*)
 - LD IL-2 = 1.5 µg/mouse
 - mAb19 = 7.5 µg/mouse (*<1 mg/kg as precomplexed with IL-2*)
- **Dosing interval:**
 - LD IL-2 & mAb 19: M, W, F (*less frequent dosing given longer duration of action*)
 - HD IL-2: M, T, W, T, F
- **SPLC isolation and FACS analysis on Day 7**

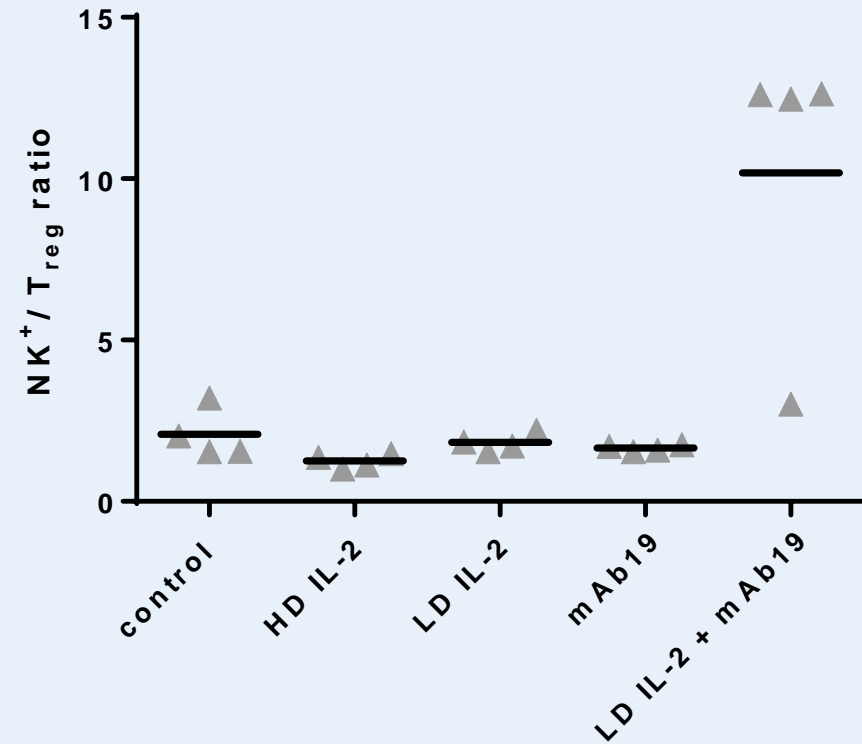
And Low Dose IL-2 + mAb19 Induces Stronger Ratios of CD8 & NK to Treg Ratios vs Standard IL-2 in B6 Mice

Splenocyte Subpopulation Ratios

CD8/Treg



NK/Treg



Study Design for Focus Study of Lewis Lung Carcinoma Tumor Response by IL-2 + mAb and/or anti-PD-1 mAb

• Timeline

- 1×10^6 LLC (LLC-A9F1) injected s.c.
- Treatment begins (day 17, median tumor size $\sim 50 \text{mm}^2$)
- Treatment continued x 3 weeks

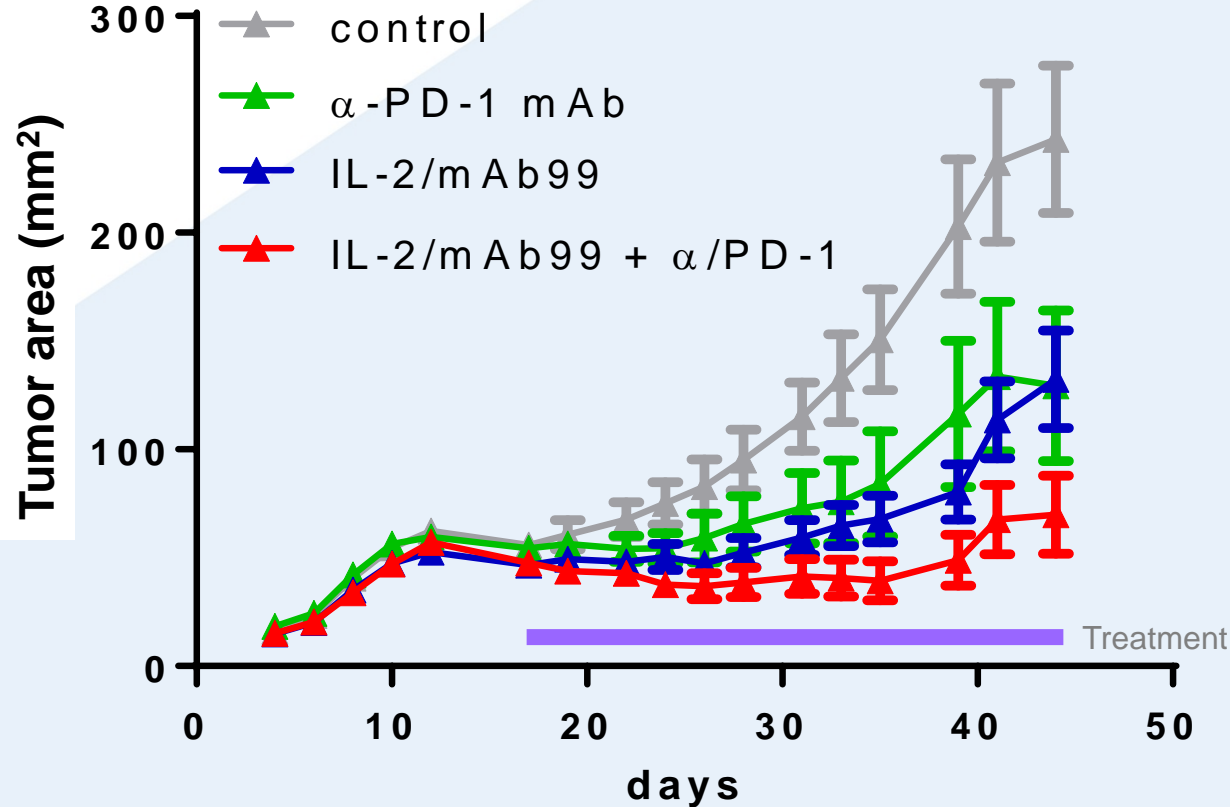
• Conditions (n=15/group; all injections i.p.):

- control (vehicle)
- Human LD IL-2 + mAb99 only
- anti-PD-1 mAb only
- Human LD IL-2 + mAb99 + anti-PD-1 mAb

• Dosing

- IL-2 + mAb99
 - *2ug IL-2 + 10ug antibody, pre-complexed, i.p. 3x/week*
- anti-PD-1 mAb (clone RMP1-14)
 - *200ug/injection, i.p. 2x/week*

Low-Dose IL-2 + mAb99 is Effective Alone and Efficacy is Increased Upon Combination with anti-PD-1



Long-term Anti-tumor Memory With Combination LD IL-2 / mAb99 + Anti-PD-1

LLC Tumor Rechallenge Study

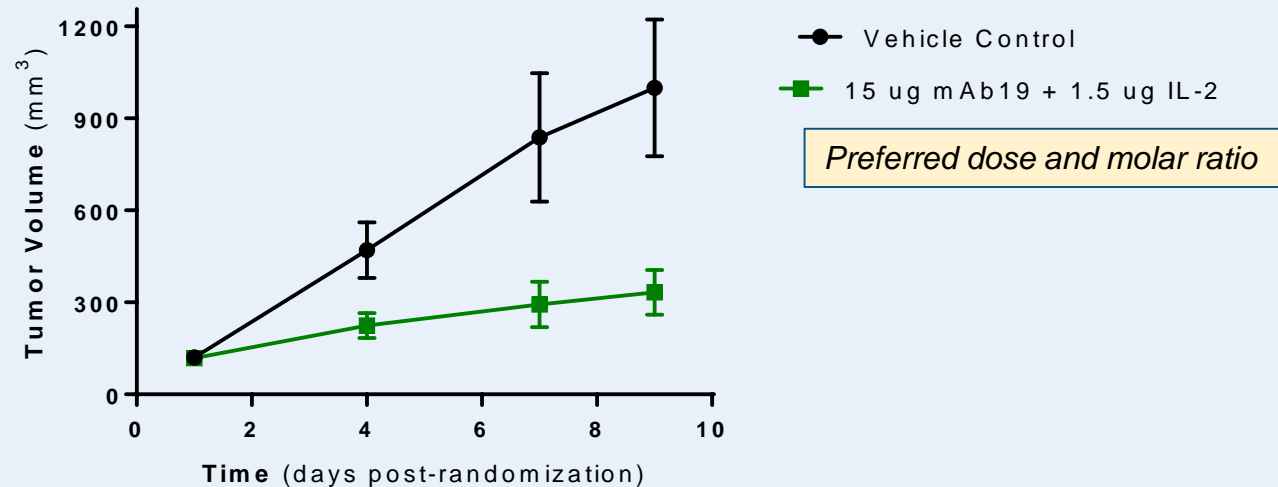
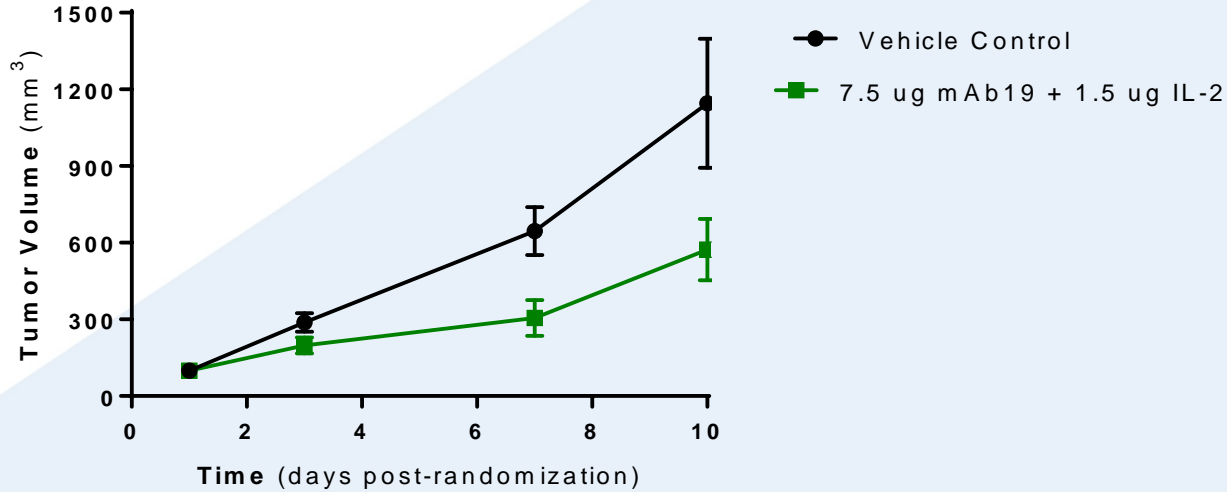
- Objective: test induction of memory by rechallenging complete responders from initial experiment with LLC tumor, injected subcutaneously
- Rechallenge conducted approximately five months after start of initial experiment and approximately four months after final treatment (1E6 cells initially, 1.5E6 in rechallenge)
- Day 22 Post-Rechallenge Results
 - Synergy demonstrated between mAb / IL-2 complex and checkpoint blockade
 - In mAb / LD IL-2 complex + α PD-1 mAb group, 100% (6/6) of rechallenged mice remained tumor free

| Previous Treatment | CRs | # mice challenged | # tumor free | Comment |
|---|------|-------------------|--------------|-------------------------------|
| None (control naïve mice) | 0/15 | 10 | 0 | |
| mAb99 / LD IL2 complex | 1/15 | 1 | (1) | markedly delayed tumor growth |
| α PD-1 mAb | 2/15 | 2 | 2 | |
| mAb99 / LD IL-2 complex + α PD-1 mAb | 6/15 | 6 | 6 | |

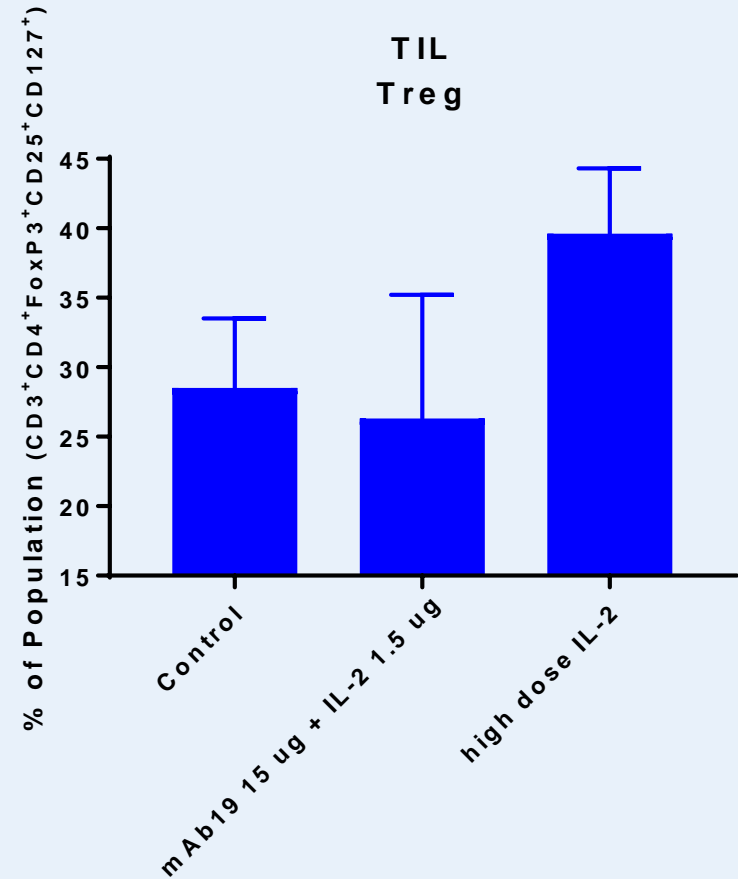
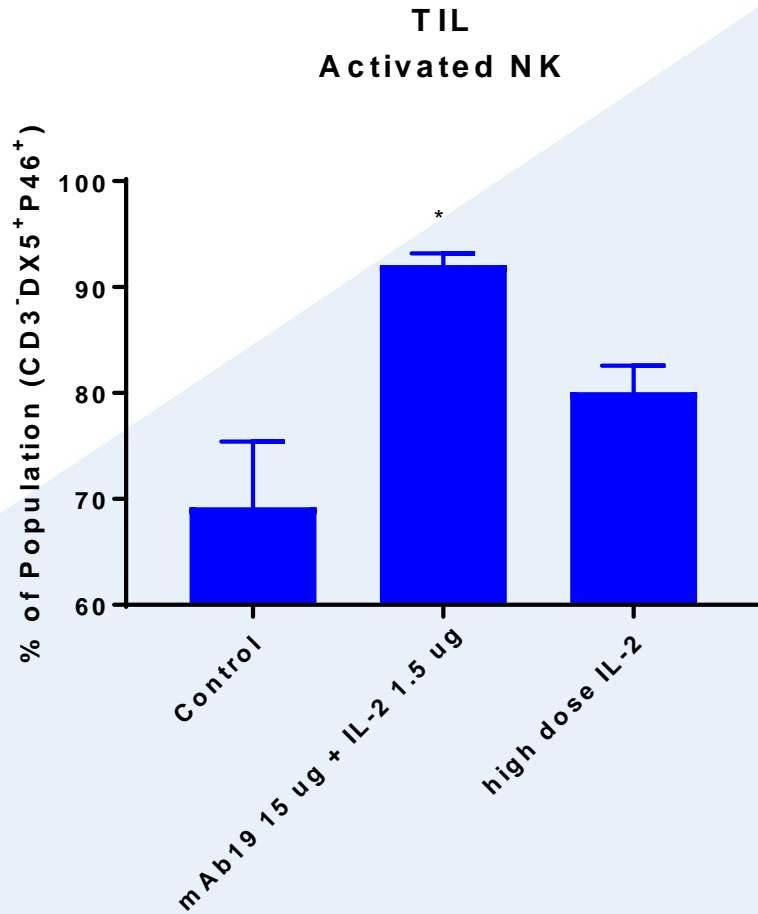
Mouse Colon Carcinoma CT26 Tumor Model Study Design

- **Balb/c mice**
- **N:** 6-12 animals per group
- **Doses:** HD IL-2 = 50 μg , 100 μg per mouse (2.5, 5 mg/kg)
LD IL-2 = 1.5 μg /mouse (0.075 mg/kg)
mAb19 = 15 μg /mouse (0.75 mg/kg)
- **Dosing interval:**
i.p.
HD IL-2 = once daily for five days with two days off followed by another five day regimen;
mAb 19 15 μg + IL-2 1.5 μg : i.p. q3-4d

Proof-of-Concept and Initial Dose-Ranging of mAb19 + low-dose IL-2 in the CT26 Colon Carcinoma Model



mAb19+IL2 Dosing Favors CT26 Tumor Infiltrating NK Expansion without Tregs



The mAb19 Immunotherapy Approach Engages Multiple Anti-tumor Mechanisms

- **Enhanced T_{effectors} (including CD8 memory)**
- **Enhanced innate immunity (e.g. NKs)**
- **Potentially increases PD-1, PD-L1, MHC cl, cold to hot tumor**
- **Suitability for combination therapy – e.g. checkpoint inhibitors, tumor-targeted ADCC agents, others**